

02/04/02 JC904 U.S. PTO FORM PTO-1082

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/06/02 10/06/02 10/06/02 10/06/02 10/06/02 10/06/02 10/06/02 10/06/02 10/06/02 10/06/02

Re application of:  
Hiroshi SAKAI of Nagano, Japan.  
Serial No: Not assigned  
Filed: February 4, 2002  
For: OPTICAL PICKUP DEVICE AND METHOD FOR DESIGNING THE SAME

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

Customer Number 000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 10 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 27 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☐ A certified copy of Japanese Patent Application No. 2001-036619 filed February 14, 2001 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☐ Application data sheet is enclosed. See 37 CFR 1.76.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FE E	11	-20	0	LG=\$18 SM=\$9	\$18	0
B INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$84 SM=\$42	\$84	168
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						168
D MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0
E BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 908
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 4, 7, 10 and 11.						

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
  - ☐ Any additional filing fees required under 37 C.F.R. 1.16
  - ☐ Any patent application processing fees under 37 C.F.R. 1.17

Date: February 4, 2002

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Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: Anthony J. Orler  
Registration No. 41,232  
Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi SAKAI

Serial No: Not assigned

Filed: February 4, 2002

For: OPTICAL PICKUP DEVICE AND METHOD FOR  
DESIGNING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

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Date of Deposit: February 4, 2002

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 27 page(s) of specification; 11 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of formal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: February 4, 2002

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Name of person mailing papers

Signature